

Message

From: SVELUND Greg [Greg.SVELUND@state.or.us]
Sent: 6/7/2019 1:32:59 PM
To: Green, Richard [/o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=80b69be2dc1642fb864b8bfb7f1b875b-Green, Richard]
Subject: RE: Warm Springs water and sewer funding needs

Thanks Richard for reaching out. The information below is very helpful. While I'll be prepared with some SRF materials and can speak to our loan capabilities, I'll likely defer to you on this based on your familiarity with the options below. It also makes sense because EPA has more ability and loan programs for tribes than the state does. Looking forward to meeting you.

Greg

From: Green, Richard <Green.Richard@epa.gov>
Sent: Thursday, June 06, 2019 9:03 AM
To: SVELUND Greg <Greg.SVELUND@state.or.us>
Subject: FW: Warm Springs water and sewer funding needs

Greg,

Hi. I spoke with Lee Ann, and she suggested that I reach out and introduce myself, and explain my role in the upcoming meeting with Warm Springs.

Here at Region 10 I oversee implementation of the Clean Water State Revolving Fund (CWSRF) and the Drinking Water State Revolving Fund (DWSRF). Both programs are delegated to states – the CWSRF to DEQ and the DWSRF to OHA/IFA. However, from EPA's perspective, they are our agency's primary financial tool to address DW and WW public health and environmental risks. That's why I was reaching out in my previous emails, in order to give as accurate as possible a picture of what type of assistance these programs in Oregon can provide, if I'm asked.

In addition, EPA also has a plethora of other funding options, including WIFIA, national CWSRF and DWSRF Tribal Set-Asides, and the new WIIN grants, especially the WIIN Section 2104 Small and Disadvantaged Communities grants, that provide funding to Tribes (that Warm Springs can apply for) and also to States (that Warm Springs can also apply for).

Looking forward to meeting you next week; please let me know if you have any questions.

Thanks.

Rick Green, Regional Coordinator
State Revolving Fund
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<https://www.epa.gov/dwsrf>
<https://www.epa.gov/cwsrf>

From: LAWRENCE Lee Ann <LeeAnn.LAWRENCE@state.or.us>
Sent: Monday, June 3, 2019 1:25 PM
To: Green, Richard <Green.Richard@epa.gov>; LAMB Bonnie <Bonnie.LAMB@state.or.us>; DESEMPLE Adam <Adam.DESEMPLE@dhsosha.state.or.us>; FIELDS Anthony J <Anthony.J.FIELDS@dhsosha.state.or.us>; Ed Tabor (Edward.Tabor@oregon.gov) <Edward.Tabor@oregon.gov>; SVELUND Greg <Greg.SVELUND@state.or.us>; UNGER Jon * BIZ <Jon.Unger@oregon.gov>; ESTES Kathy <Kathy.ESTES@state.or.us>; leeann.lawrence@state.or.us;

Lynn.BARLOW@state.or.us

Cc: Roberto, Joseph <Roberto.Joseph@epa.gov>; Barber, Anthony <Barber.Anthony@epa.gov>

Subject: RE: Warm Springs water and sewer funding needs

Rick,

CWSRF staff met with Warm Springs a little more than a year ago to discuss some of their wastewater projects. I'm sure Greg and/or Bonnie will coordinate internally here at Oregon DEQ to get up to speed on activities between CWSRF and the Tribe to date and touch base with our Tribal Liaison, Christine Svetkovich.

I think it's important to brief Kathy Estes on the overall goal of the meeting so that she can get an understanding and be prepared to offer financial input at a later time. The Tribe's financial capacity to take on CWSRF debt will be the first threshold our program needs to review (when the conversation gets to that point).

Here are my responses in green to the list below:

1. Tribes **are** eligible to receive SRF assistance as long as the project is not also receiving CW or DW national Tribal set-aside funding. Given that, if Warm Springs were to apply for CWSRF or DWSRF loan funding for a project or projects on the attached CIP, what are the likely loan terms (interest rate, loan length and amount of PF) that they could reasonably expect from the SDWRLF and CWSRF? Also, what is each program's likely lending capacity to help co-fund a DW or WW project?

CWSRF:

- We have not set our SFY 2020 thresholds yet, but SFY 2019 the maximum loan amount per loan = \$38,293,510. We can offer increases over time, if needed. Currently, we have plenty of funding capacity.
- The program co-funds on the regular and has experience coordinating to ensure cross-compliance.
- Interest rates adjust every quarter. Rates/terms (some based on demographic) are up to date on our website:
 - <https://www.oregon.gov/deq/wq/cwsrf/Pages/CWSRF-Rates.aspx>
 - Currently = 1.03% - 3.27%
- Maximum loan term = 30 years, no prepayment penalty
- Maximum principal forgiveness = \$500,000 or 50% of total project cost, whichever is less
 - Criteria: Qualify as a distressed community or green/sustainable project (per GPR criteria)

2. Jon or Ed, are there other IFA-administered grant or loan programs that Warm Springs could tap into?
3. Adam, could Warm Springs tap SIPP or other DWSRF set-aside funding for DW-project planning assistance?
4. Lee Ann or Kathy, I see mention of septic on the CIP, is it better to mention, or not mention, that this is on the horizon?

CWSRF:

- As Kathy stated, these are eligible.
- The loan type and loan terms (local community loan vs. point source loan vs. nonpoint source loan) will depend on the type of septic system project and ultimately whether it's owned by the Tribe or private entities.
- Bonnie Lamb can field questions on the specifics on the project scope.

5. Any other ideas on funding options for Warm Springs?

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From: Green, Richard <Green.Richard@epa.gov>
Sent: Monday, June 03, 2019 12:38 PM
To: LAMB Bonnie <Bonnie.LAMB@state.or.us>; DESEMPLE Adam <Adam.DESEMPLE@dhsosha.state.or.us>; FIELDS Anthony J <Anthony.J.FIELDS@dhsosha.state.or.us>; Ed Tabor (Edward.Tabor@oregon.gov) <Edward.Tabor@oregon.gov>; SVELUND Greg <Greg.SVELUND@state.or.us>; UNGER Jon * BIZ <Jon.Unger@oregon.gov>; ESTES Kathy <Kathy.ESTES@state.or.us>; leeann.lawrence@state.or.us; Lynn.BARLOW@state.or.us
Cc: Roberto, Joseph <Roberto.Joseph@epa.gov>; Barber, Anthony <Barber.Anthony@epa.gov>
Subject: RE: Warm Springs water and sewer funding needs

Thanks so much for reaching out Bonnie. I'm on a planning call for this meeting right now – do you or Greg (or Jon) know who might be attending this meeting from IFA? We discussed using Oregon's One Stop approach to assist Warm Springs, and that apparently IFA folks usually take the lead for the One Stops.

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From: LAMB Bonnie <Bonnie.LAMB@state.or.us>
Sent: Monday, June 3, 2019 8:14 AM
To: DESEMPLE Adam <Adam.DESEMPLE@dhsosha.state.or.us>; FIELDS Anthony J <Anthony.J.FIELDS@dhsosha.state.or.us>; Ed Tabor (Edward.Tabor@oregon.gov) <Edward.Tabor@oregon.gov>; Green, Richard <Green.Richard@epa.gov>; SVELUND Greg <Greg.SVELUND@state.or.us>; UNGER Jon * BIZ <Jon.Unger@oregon.gov>; ESTES Kathy <Kathy.ESTES@state.or.us>; leeann.lawrence@state.or.us; Lynn.BARLOW@state.or.us
Cc: Roberto, Joseph <Roberto.Joseph@epa.gov>; Barber, Anthony <Barber.Anthony@epa.gov>
Subject: RE: Warm Springs water and sewer funding needs

Hi – Greg Svelund, DEQ's Regional Solutions person for central Oregon, will be attending this summit and he spoke to me about this a few weeks ago. Rick – let me know if you would like to talk with Greg and/or I prior to the meeting. Thanks.
Bonnie

Bonnie Lamb
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From: ESTES Kathy
Sent: Friday, May 31, 2019 6:02 PM
To: Green, Richard <Green.Richard@epa.gov>; DESEMPLE Adam <Adam.DESEMPLE@dhsosha.state.or.us>; UNGER Jon * BIZ <Jon.Unger@oregon.gov>; Ed Tabor (Edward.Tabor@oregon.gov) <Edward.Tabor@oregon.gov>; FIELDS Anthony J

<Anthony.J.FIELDSD@dhsoha.state.or.us>; leeann.lawrence@state.or.us; Lynn.BARLOW@state.or.us

Cc: Roberto, Joseph <Roberto.Joseph@epa.gov>; Barber, Anthony <Barber.Anthony@epa.gov>; LAMB Bonnie <LAMB.Bonnie@deq.state.or.us>

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There is no difference in rates/terms – for CWSRF it's all based on demographic of the applying entity. We can fund septic and have done decommissioning of same. Otherwise probably best to have a conversation with the local project officer – that would be Bonnie Lamb.

From: Green, Richard <Green.Richard@epa.gov>

Sent: Friday, May 31, 2019 9:33 AM

To: DESEMPLE Adam <Adam.DESEMPLE@dhsoha.state.or.us>; UNGER Jon * BIZ <Jon.Unger@oregon.gov>; Ed Tabor (Edward.Tabor@oregon.gov) <Edward.Tabor@oregon.gov>; FIELDSD Anthony J <Anthony.J.FIELDSD@dhsoha.state.or.us>; leeann.lawrence@state.or.us; Lynn.BARLOW@state.or.us; ESTES Kathy <Kathy.ESTES@state.or.us>

Cc: Roberto, Joseph <Roberto.Joseph@epa.gov>; Barber, Anthony <Barber.Anthony@epa.gov>

Subject: Warm Springs water and sewer funding needs

Hi folks. There's going to be a funding summit for Warm Springs on June 12th and I may be attending it. As such I'm shopping for ideas and suggestions and need your assistance.

1. Tribes **are** eligible to receive SRF assistance as long as the project is not also receiving CW or DW national Tribal set-aside funding. Given that, if Warm Springs were to apply for CWSRF or DWSRF loan funding for a project or projects on the attached CIP, what are the likely loan terms (interest rate, loan length and amount of PF) that they could reasonably expect from the SDWRLF and CWSRF? Also, what is each program's likely lending capacity to help co-fund a DW or WW project?
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Thanks!!

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